

From: John.Snape@glencore.com.au [John.Snape@glencore.com.au]
Sent: Thursday, 11 September 2014 1:43 PM
To: DONALDSON Beryl
Subject: RE: Operator contact information
Attachments: Glencore Coal Queensland.pdf

Kevin,

For the purposes of the Coal Mine Safety and Health Act, **Glencore Coal Queensland Pty Ltd** is the operator of the Oaky Creek No. 1 Colliery, Oaky North Mine and Oaky Creek Surface Operations.

Glencore Coal Queensland Pty Ltd was formerly known as Xstrata Coal Queensland Pty Ltd. Following the merger between Xstrata and Glencore, the name of the company was changed. However it remains the same company with the same ABN. A copy of the Change of Name Certificate from ASIC is attached.

John Snape
General Manager
Oaky Creek Coal
A Glencore Managed Operation

T – +61 7 4984 7202
M – s.73
E – John.Snape@glencore.com.au

From: DONALDSON Beryl [mailto:Beryl.Donaldson@dnrm.qld.gov.au]
Sent: Thursday, 11 September 2014 11:19 AM
To: Snape, John (Oaky Creek - Coal)
Subject: Operator contact information

Good morning John

Could you please clarify who is the Operator for the Oaky Creek mines:
Oaky Creek No. 1 Colliery
Oaky North Mine
Oaky Creek Surface Operations.
We are unsure whether it is Glencore or Xstrata.

Regards

Kevin Poynter
Inspector of Mines, Rockhampton
Mobile:
Email: kevin.poynter@dnrm.qld.gov.au
<http://mines.industry.qld.gov.au/safety-and-health>

per

Beryl Donaldson
Administration Officer (9-1pm)
Safety & Health - Central Region
Telephone 07 4938 4338 **Facsimile** 07 4938 4331
Email beryl.donaldson@dnrm.qld.gov.au
www.dnrm.qld.gov.au
Department of Natural Resources & Mines
Level 5, 34 East Street, Rockhampton Qld 4700
GPO Box 548, Rockhampton Qld 4700

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RTI DL RELEASE - DNRM



Certificate of Registration on Change of Name

This is to certify that

XSTRATA COAL QUEENSLAND PTY LTD

Australian Company Number 098 156 702

did on the seventh day of June 2013 change its name to

GLENCORE COAL QUEENSLAND PTY LIMITED

Australian Company Number 098 156 702

The company is a proprietary company.

The company is limited by shares.

The company is registered under the Corporations Act 2001 and is taken to be registered in Queensland and the date of commencement of registration is the fourteenth day of September, 2001.

RTI DL RELEASE - DNRM

Issued by the
Australian Securities and Investments Commission
on this seventh day of June 2013.

s.73

Greg Medcraft
Chairman

CERTIFICATE

**NOTICES REQUIRED UNDER THE QUEENSLAND
COAL MINING SAFETY AND HEALTH ACT 1999**

COMPANY NAME	Xstrata Coal Queensland Pty Ltd ACN 098 156 702
NAME OF MINE OR PART MINE	Osby No.1 Underground Mine
DESCRIPTION OF LAND COMPRISING THE MINE OR PART MINE	Those parts of Mining Leases 1832 and 70241
NAME OF HOLDER (Principal Holder if more than one Holder)	Ltd ACN 098 156 702
ADDRESS OF HOLDER	entire 1 St 7, 7th
OPERATOR	ACN
OF OPERATOR	
NAME OF SITE SENIOR EXECUTIVE	
ADDRESS OF SITE SENIOR EXECUTIVE	RTI s78B(2) - Personal Information

RTI/DL RELEASE - DNR/M

Mr David
Xstrata
Teleph

7 April

base forward

of Na 168
981

Box 2
JANE



Tel + 61 7 4984 7200
Fax + 61 7 4984 7240

Address Oaky Creek Complex
PO Box 1
Tieri QLD 4709
Australia

Friday, 06 December 2013

Mr John Sleigh
The District Inspector for Mines (Coal)
DEEDI – Mines and Energy
PO Box 548
ROCKHAMPTON QLD 4700

Dear Sir

Re: Notice of Appointment of Site Senior Executive for Oaky Creek No.1 Colliery.

Please find enclosed a Notice under the Coal Mining Safety and Health Act 1999 advising of the appointment of Shane Raymond Wright as the SSE for the Oaky Creek No.1 Colliery of Oaky Creek Coal Pty Ltd, effective 7:00am on Wednesday 29th January 2014.

Yours faithfully
Glencore Coal Queensland Pty Ltd

s.73
John Snape
General Manager Emerald Region
Oak Creek Coal Pty Ltd

RTI/DL RELEASE - DNRM



Friday, 06 December 2013

Shane Raymond Wright

RTI s78B(2) - Personal Information

Dear Shane

Re: Appointment as Site Senior Executive for Oaky Creek No.1 Colliery

Pursuant to Section 41 (1) (d) of the Coal Mining Safety and Health Act 1999 (Qld) ("CMSHA"), Glencore Coal Queensland Pty Ltd ("GCA") as operator of the Oaky Creek No.1 Colliery is required to appoint a Site Senior Executive ("SSE") for that coal mine.

The purpose of this letter is to confirm your appointment as SSE for the coal mine known as "Oaky Creek No.1 Colliery", covering the mining operations carried on by that mine within the area of Mining Leases 1832 and 70241. The appointment is effective from 7:00am on Wednesday 29th January 2014.

Under the CMSHA, the SSE is defined as the most senior officer employed by the coal mine operator for the mine who –

- (a) is located at or near the mine, and
- (b) has responsibility for the mine.

The CMSHA imposes certain obligations on the SSE. In relation to the safety and health of persons who may be affected by the mining operations those obligations include:

- (a) to ensure the risk to persons from operations is at an acceptable level;
- (b) to ensure the risk to persons from any plant or substance provided by the site senior executive for the performance of work by someone other than the site senior executive's workers is at an acceptable level;
- (c) to develop and implement a safety and health management system for the mine;
- (d) to develop, implement and maintain a management structure for the mine that helps ensure the safety and health of persons at the mine;
- (e) to train workers so that they are competent to perform their duties;
- (f) To provide for –
 - (i) adequate planning, organisation, leadership and control of operations; and
 - (ii) the carrying out of critical work at the mine that requires particular technical competencies; and
 - (iii) adequate supervision and control of operations on each shift at the mine; and
 - (iv) regular monitoring and assessment of the working environment, work procedures, equipment and installations at the mine; and
 - (v) appropriate inspection of each workplace at the mine including, where necessary, pre-shift inspections.

You should familiarise yourself with the CMSHA and Regulations.



To the extent that the law permits, GCA will indemnify you for:

- (1) any statutory fines or penalties imposed, and
- (2) legal costs associated with defending any prosecution or enforcement of the CMSHA

arising out of your role as SSE, or will procure insurance for you against any such fines, penalties or costs. You should appreciate that the law restricts the extent to which an indemnity by the company or insurance can be given and the extent of the indemnity (including insurance) available will depend on the circumstances giving rise to the liability or proceedings. A summary of the current legal position as it is understood by us is Attachment 1 to this letter.

Yours sincerely
Glencore Coal Queensland Pty Ltd

John Snape
Authorised Representative

Signed:

s.73

Shane Raymond Wright

Date:

11/12/13

RTI DL RELEASE - DNRM





NOTICES REQUIRED UNDER THE QUEENSLAND COAL MINING SAFETY AND HEALTH ACT 1999

COMPANY NAME	Glencore Coal Queensland Pty Ltd ACN 098 156 702
NAME OF MINE OR PART MINE	Oaky Creek No.1 Colliery
DESCRIPTION OF LAND COMPRISING THE MINE OR PART MINE	Those parts of Mining Leases 1832 and 70241
NAME OF HOLDER (Principal Holder if more than one Holder)	Glencore Coal Queensland Pty Ltd ACN 098 156 702
ADDRESS OF HOLDER	111 Eagle Street, Brisbane Qld4001 Postal Address: GPO Box 2587, Brisbane Qld 4001
NAME OF OPERATOR	Glencore Coal Queensland Pty Ltd ACN 098 156 702
NAME OF SITE SENIOR EXECUTIVE	Shane Raymond Wright
ADDRESS OF SITE SENIOR EXECUTIVE	RTI s78B(2) - Personal Information

Contact Person: John Snape
General Manager - Emerald Region
Oaky Creek Coal Pty Ltd
PO Box 1
TIERI QLD 4709
Telephone: 07 4984 7202

Date: 20 June 2013

Upon completion,
documents forwarded to: Mr John Sleigh
The District Inspector for Mines (Coal)
DEEDI – Mines and energy
PO Box 548
ROCKHAMPTON QLD 4700

Chief Inspector of Mines
GPO Box 2454
BRISBANE QLD 4001



Oaky No.1 Underground Operations

APPOINTMENT

RESPONSIBILITIES, ACCOUNTABILITIES AND COMPETENCIES OF:
OPERATIONS MANAGER / SITE SENIOR EXECUTIVE

Person Appointed	Shane Raymond Wright
Date Appointed:	7:00am Wednesday 29 January 2014
Appointed By:	Coal Mine Operator, as per s41 of CMSHA 1999
Immediate Supervisor:	General Manager / Operator
Immediate Subordinates:	Senior Management Team appointed under s55 CMSHA 1999
Mandatory Competencies for the Role:	<ul style="list-style-type: none"> • MNCG1001A Apply Risk Management Processes (QMS1) • MNCG1008.A Conduct Health & Safety Investigations (QMS2) • MNCG1009A Communicate Information (QMS3) • MNCG1003A Manage the Risk Control System
Desirable Competencies for the Role:	<ul style="list-style-type: none"> • Degree in Mining Engineering • Underground Mine Manager Certificate of Competency / Undermanager Certificate of Competency / Deputy Certificate of Competency • Certificate IV in Assessment and Workplace Training • MNCU1107A Implement the ventilation management plan • MNCU1106A Establish the ventilation management plan • MNCG1102A Establish the statutory compliance management system • MNCG1107A Establish and maintain the mine occupational health and safety management system
Individual's Competencies:	<ul style="list-style-type: none"> • Please refer to attached
Individual's Experience:	Refer to Experience Statement
Role Function & Purpose:	The Site Senior Executive will ensure that appropriate resources are employed and delegated to develop and implement an effective HSEC System for the entire operation with the aim of providing and maintaining a workplace where the risk to persons who may be affected by coal mining operations, is at an acceptable level.

Health, Safety, Environment and Community Responsibilities

The obligations and responsibilities of the Site Senior Executive are to:

- Maintain a comprehensive understanding of the corporate and legislative requirements as they apply to the role of Operations Manager - Site Senior Executive. Particularly those prescribed in the Coal Mining Safety and Health Act 1999 & Regulation 2001 for **Site Senior Executive** and **coal mine worker**



Oaky No.1 Underground Operations

APPOINTMENT

Health, Safety, Environment and Community Responsibilities

- Develop, implement and maintain a Health, Safety, Environment and Community (HSEC) Management System that is focussed towards management of risks and adequately covers corporate and legislative requirements.
- Ensure that the HSEC System is reviewed and monitored for change and performance, and that timely corrective action is taken.
- Ensure the operation is maintained at an acceptable level of risk through the development, implementation and monitoring of a risk management system and processes.
- Provide sufficient resources to implement and maintain the HSEC system and associated procedures.
- Develop and maintain a management structure that facilitates the development and implementation of the site's HSEC Management System.
- Document the management structure in a way that clearly identifies the roles, responsibilities and competencies required by senior positions.
- Ensure all persons required to conduct tasks are trained and competent to perform those tasks.
- Implementation of "the operator's" HSEC Policies and Business Principles in accord with corporate policies and state commitment to improving HSEC performance through consultative processes.
- Monitor the effectiveness of the HSEC Management System and HSEC Policies.
- Demonstrate commitment through strong leadership and promoting the vision of site and corporate HSEC Policies.
- Make a copy of the HSEC System available for inspection by coal mine workers.
- Notify the relevant statutory bodies, where required, of appointments made within the management structure.
- Establish and maintain appropriate site forums whereby important HSEC issues are communicated, recorded, and resolved at appropriate levels.
- Develop and maintain site training plans that identify how competencies are to be maintained by persons in the management structure and those with statutory appointments.
- Appoint suitably competent persons to fulfil the following duties:
 - Replacements for persons in the Senior Management structure during temporary absences
 - Underground Mine Managers
 - Ventilation Officers for underground mines
- Establish and formally communicate roles and responsibilities for people with specific obligations and duties under the site HSEC System.
- Develop, review, and maintain Principal Hazard Management Plans and Standard Operating Procedures, as an integral part of the HSEC System, with relevant cross-section of coal mines workers and in accordance with CMS&H Act and Regulations.
- Maintain and display mine records, reports and directives as required by s68 of CMS&H Act.
- Provide reasonable assistance to elected site safety and health representatives in carrying out their functions.
- Provide for appropriate notification, investigation, reporting, and recording of serious



Oaky No.1 Underground Operations

APPOINTMENT

Health, Safety, Environment and Community Responsibilities

accidents and high potential incidents as required by corporate policy and legislation.

- Notify an inspector of any withdrawal of persons in case of danger, and of action taken to eliminate the danger.
- Maintain mine plans, certified by competent mine surveyor, showing details specified under s67 of CMS&H Act.
- Provide and maintain adequate mines rescue capability for underground operations.
- Conducting internal audit/s of HSEC Elements as required.
- Routinely conduct, and/or participate in Departmental Audits, Safety Observations and general Inspections to demonstrate commitment to monitoring and reviewing processes.
- Routinely review Site and Departmental HSEC Action Plans to ensure appropriate corrective and preventive actions are planned and followed through to completion.
- Participate in the co-ordination of all activities related to the identification, acquisition and renewal of all community related statutory licences and permits to operate.
- Ensure the Document Controller maintains the Document Management system.
- Clearly communicate the HSEC Policies and the organisations objectives and targets
- Set and demonstrate high health, safety and environmental standards
- Provide leadership in the develop and implementation of health, safety and environmental plans
- Actively participate in the rehabilitation of injured persons
- Coordinate the identification, acquisition and renewal of statutory licences and permits

Coal Mining Safety & Health Act 1999 and Regulations 2001 Responsibilities

Coal Mining Safety and Health Act 1999:

- Ensure the risk to persons from coal mining operations is at an acceptable level (s42(a))
- Ensure the risk to persons from any plant or substance provided by the SSE for the performance of work by someone other than the SSE's coal mine workers is at an acceptable level (s42(b))
- Develop and implement a HSEC management system in accordance with s42(c).
- Develop, implement and maintain a management structure for the mine ensuring the safety and health of people at the mine (s42(d))
- Train coal mine workers so that they are competent to perform their duties (s42(e))
- To provide for—
 - adequate planning, organisation, leadership and control of coal mining operations (s42(f)(i))
 - The carrying out of critical work at the mine that requires particular technical competencies (s42(f)(ii))
 - Adequate supervision and control of coal mining operations on each shift at the mine (s42(f)(iii))
 - Regular monitoring and assessment of the working environment, work procedures, equipment, and installations at the mine (s42(f)(iv))
 - appropriate inspection of each workplace at the mine including, where necessary,

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Coal Mining Safety & Health Act 1999 and Regulations 2001 Responsibilities

pre-shift inspections (s42(f)(v))

- The SSE for a coal mine must—
 - Develop and maintain a management structure for the coal mine in a way that allows development and implementation of the HSEC management system (s55(1)(a))
 - Document the management structure (s55(1)(b))
 - The document must state the responsibilities of the site senior executive; the responsibilities and competencies required for senior positions in the structure; the names of the persons holding the senior positions and their competencies and the competencies required, and the responsibilities, for each other supervisory position at the mine (s55(2)(a-d))
- Appoint a person to be underground mine manager to control and manage the mine (s60(2))
- Appoint an alternate underground mine manager if the mine is to be managed in accordance with a commute system (s60(4))
- Appoint a ventilation officer for the mine - s61(2).
- Make a copy of the HSEC management system available for inspection by workers (s62(4))
- Give a copy of a PHMP to a worker whose work is affected by the requirements of the plan and who requests a copy of the PHMP (s62(5))
- Give a copy of the PHMP to a person that employs persons at the coal mine whose work is affected by the plan's requirements (s63(2))
- Review the PHMP's and SOP's in consultation with workers affected by the plans and operating procedures (s64(2))
 - The review under subsection (2) must take place for a new coal mine—as soon as practicable after the start of coal mining operations; or for a change at an existing coal mine—before the change happens. (s64(3)(a-b))
- Give notice of any change in the management structure at the mine to the inspector for the region within 14 days after the change happens. (s65)
- Enter in the mine record details of—
 - the management structure and of the persons holding positions in the structure at the mine (s66(1)(a)); and
 - changes to the management structure (s66(1)(b))
- The SSE must keep at the mine—
 - Plans showing, as far as practicable the extent of the mine workings and the current position of any part of the mine workings (s67(1)(a)(i)); and for an underground mine, the significant topographical features on the surface above the mine (s67(1)(a)(ii))
 - Plans showing the extent of mining undertaken at or near the mine (s67(1)(b))
 - Information likely to be required to evaluate the effect of the mine on the safety of adjoining coal mines (s67(1)(c)(i)); and any potential uncontrolled flow of material into the mine workings (s67(1)(c)(ii))
- Produce to the chief inspector, before 31 December each year, plans showing the extent of the mine workings (s67(2))
- As soon as reasonably practicable, provide information mentioned in subsection 67 (1)(c) or (d) to the SSE of an adjoining mine if asked by the SSE of the adjoining mine (s67(5)(a)) or if

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Coal Mining Safety & Health Act 1999 and Regulations 2001 Responsibilities

- the information is relevant to the safety of the adjoining mine (s67(5)(b))
- Display a copy of current directives and reports of inspections carried out at the mine under this Act in 1 or more conspicuous positions at the mine to come to the attention of affected workers (s69)
 - The SSE and supervisors at the coal mine must give reasonable help to a site safety and health representative in carrying out the representative's functions (s99(2))
 - If the SSE receives a report under section 101(2) (*The safety and health representative may, by written report to the site senior executive stating the reasons for the representative's belief, order the suspension of coal mining operations*), the SSE must stop the coal mining operations mentioned in the report (s102)
 - Ensure that the coal mining operations stopped under section 101 are not restarted until the risk to coal mine workers from the operations is at an acceptable level (s103)
 - Tell a site safety and health representative at the mine about the following things—
 - an injury or illness to a person from coal mining operations that causes an absence from work of the person (s106(1)(a))
 - a high potential incident happening at the coal mine (s106(1)(b))
 - any proposed changes to the coal mine, or plant or substances used at the coal mine, that affect, or may affect, the safety and health of persons at the mine (s106(1)(c))
 - the presence of an inspector or inspection officer at the coal mine if the representative is at the mine (s106(1)(d))
 - a directive given by an inspector, inspection officer or industry safety and health representative about a matter (s106(1)(e))
 - For s106(1), the SSE must tell each representative as soon as practicable after the thing comes to the site senior executive's knowledge
 - Display a notice as required by subsections (2) and (3) advising the identity of each site safety and health representative for the mine (s107(1))
 - Display the notice within 5 days after the site senior executive is notified of the representative's election (s107(2))
 - Display the notice in 1 or more conspicuous positions at the mine in a way likely to come to the attention of workers at the mine (s107(3))
 - Required to help an officer must comply with the requirement, unless the SSE has a reasonable excuse (s142(2))
 - Ensure that the plant or equipment is not returned to operation until the risk to persons from the plant or equipment is at an acceptable level (s151(3))
 - Enter in the mine record the action taken to comply with the directive (from inspector, inspection officer, or industry safety and health representative) as soon as practicable after the action is taken (s174(3))
 - Make copies of directives available for inspection by workers (s174(4))
 - As soon as practicable after becoming aware of a serious accident, high potential incident or a death at a coal mine, the SSE for the coal mine must notify an inspector and an industry safety and health representative about the accident, incident or death either orally or by notice (s198(1))



Oaky No.1 Underground Operations

APPOINTMENT

Coal Mining Safety & Health Act 1999 and Regulations 2001 Responsibilities

- As soon as possible after becoming aware of the accident, incident or death, by notice or orally notify an inspector and an industry safety and health representative about the accident, incident or death in terms that include the information (the **primary information – see s198(3A)**) (s198(3))
- If the SSE does not know the primary information at the time the notification is made under subsection (3), the site senior executive must take all reasonable steps to find out the primary information as soon as possible (s198(3B)(a)); and as soon as possible after the primary information becomes known to the site senior executive, give the primary information to the inspector and representative (s198(3B)(b))
- If the SSE makes an oral report under s198 (1) or (3), the executive must confirm the report by notice within 48 hours (s198(4))
 - If the oral report relates to a death, the site senior executive must confirm the oral report by notice within 24 hours. (s198(5))
- As soon as practicable after receiving a report of a disease prescribed under a regulation as a disease that must be reported under this section, the site senior executive must give an inspector and an industry safety and health representative notice about the disease (s198(6))
- If there is a serious accident or high potential incident, the site senior executive must—
 - Carry out an investigation to decide the causes of the accident or incident (s201(1)(a))
 - Prepare a report about the accident or incident that includes recommendations to prevent the accident or incident happening again (s201(1)(b))
 - If the accident or incident is a type prescribed by regulation—forward the report to an inspector within 1 month after the accident or incident (s201(1)(c)).
- Ensure that the place of the accident or incident is not interfered with until—
 - All relevant details about the accident or incident have been recorded and, if possible, photographed (s201(a))
 - Sufficient measurements have been taken to allow the development of an accurate plan of the site (s201(b))
 - A list of witnesses to the accident or incident has been compiled (s201(c))
- Complies with any requirement about mines rescue capability imposed on the site senior executive under a regulation (s225(3)(b))
- Ensure that a person under the age of 16 does not work as an underground coal mine worker (s272)
- Notify the inspector of any action taken under this section (*Withdrawal of persons in case of danger*) immediately after the action is taken (s273(10))

Coal Mining Safety and Health Regulations 2001:

- Keep information and data on which risk assessment is based (s7)
- Develop Standard Operating Procedures in line with regulation requirements (s10)
- Ensure current Standard Operating Procedures are kept in a location that is easily accessible by each coal mine worker and in a format that is easy to use and understand (s11)
- Ensure a list of current recognised standards is kept at the mine (s12)
- Give an inspector notice following an incident at the mine within one month after it happens

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Coal Mining Safety & Health Act 1999 and Regulations 2001 Responsibilities

(s16(1))

- Ensure the SHMS includes notification to relevant personnel in regards to things mentioned in s106 and s198 of the Act (s16(2))
- Give notice to an Inspector of proposed introduction of electricity before it is introduced at the mine (s19)
- Ensure the design, installation and maintenance of electrical equipment and installations is conducted in accordance with s23 of the CSMHR
- Ensure up-to-date drawings of the mine's electrical installations are available for use at the mine (s32)
- Ensure the mine has a plan of its main electrical installation showing items as listed within CSMHR (s33)
- Ensure a visitor does not enter an operating area at the mine unless they comply with requirements as per CSMHR (s26)
- Develop fitness provisions in line with s41 and s42 and ensure records are maintained in regards to this, as per s43
- Ensure a record about monitoring for workers' exposure to hazards is carried out under s49 is kept for 30 years (s53)
- Ensure a hazardous substance register is kept at the mine in accordance with s55
- Ensure accuracy of plans and information in line with s58
- Ensure a datum station, referenced to GDA and AHD is established near the mine for mine surveys (if local grid system is used, relationship between grid system and GDA and AHD is established and shown on survey plans (s59)
- Keep a record of all boreholes for exploration or fluid drainage that are drilled from the surface is made and given to the holder and chief executive in accordance with s60
- Provide a record (in compliance with s60A) of boreholes abandoned to the chief executive within 2 months after the last day of the annual reporting period
- Ensure survey plans of the mine's workings include the matters as listed in s61 that may affect the safety and health of a person in the workings
- Ensure a mine rescue plan showing the mine's water reticulation and communication arrangements and main access roads is kept at the mine (s63)
- Ensure plant is provided with a structure to protect a person using the plant from injury if it overturns or is struck by the object (s74)
- As per s75, ensure a risk assessment is carried out on the types of vehicles used at the mine, and the way the types are used at the mine, to decide if seat belts are needed to reduce the risk to persons using the vehicles
- Where visibility of a mobile plant operator is restricted while operating the plan, ensure a warning system is established to ensure the safety of persons near the plant before its initial movement (s77)
- If the SSE becomes aware that certified equipment does not meeting certificate requirements, or is likely to create an unacceptable level of risk, you must immediately take action and give the chief inspector notice (s81(4))
- Ensure each coal mine worker is given refresher training (s84)
- Ensure the mine has sufficient potable water, bathrooms, toilets and other personal hygiene

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Oaky No.1 Underground Operations

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Coal Mining Safety & Health Act 1999 and Regulations 2001 Responsibilities

facilities and dining facilities (s87)

- Provide sufficient equipment for locating an energy source and testing the energy source when cutting, drilling or excavating near a concealed service (s88(3))
- Establish a process to ensure the exposure of persons to asbestos material is prevented or minimised (s88A)
- Ensure the exposure of persons to asbestos, other than asbestos material installed in buildings and plan is prevented or minimised (s88B)
- Monitor and record deformation of natural and artificial structures where it may affect the safety and health of a person (s97)
- If a borehole is drilled or abandoned, ensure provisions, as per Petroleum and Gas (Production and Safety) Regulation 2004 are complied with (s100). Note: an exemption maybe applied for (s100AB)
- Stop mining operations immediately if there is a risk to the safety of persons in the area of an adjacent or overlapping petroleum lease (s100AD)
- Ensure a prohibited substance (Schedule 2A, column 1) is not used at the mine for a prohibited purpose (Schedule SA, column 2)
- When surface mining activities are carried out in or around the surface excavation, at least 1 OCE is present at the mine, and the OCE is contactable (s104)
- Ensure main responsibilities of an OCE is the safety and health or persons in or around the surface excavation (s105)
- Ensure at least 1 OCE is involved in developing, reviewing and auditing the part of the mine's safety and health management system relating to mining activities (s108)
- Appoint a person to control and manage electrical engineering activities (s111)
- Ensure an earthed electrical distribution system has earth leakage protection (s113)
- Where equipment does not have a local earthing system, ensure the cable has an earth continuity monitoring system that cuts off the electricity supply immediately after an earth continuity fault is detected in the cable or equipment (s114(2))
- Electricity source for the equipment has an earth fault current limitation device that limits prospective touch voltage to within acceptable limits (s114(4))
- Declaration of abnormal circumstances in accordance with s120 and immediately after make declaration, give notice to appropriate persons (s121). Abnormal circumstances must be revoked in accordance with s122 and particulars placed in mine record (s123)
- Ensure the mine has a plan of surface land, complying with section 126, and a copy of the plan is kept at the mine is drawn on a transparency so it can be overlaid on the plan of any underground mine workings (s126(5))
- Ensure current plans identifying and showing the location of surface services, including buried services, are kept in a location at the mine (s127)
- Ensure a building or structure located at the surface intake opening, or in the underground mine, is constructed of non-flammable material (s154)
- Ensure each entrance from the surface to the underground mine is capable of being sealed in accordance with s156
- Ensure necessary facilities are available for use with the inertisation equipment (s157A(2))
- Ensure the mine has facilities and equipment for cap lamps in accordance with s162

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Oaky No.1 Underground Operations

APPOINTMENT

Coal Mining Safety & Health Act 1999 and Regulations 2001 Responsibilities

- Consult with workers in development parts of the SHMS that provides for trigger points for evacuating the mine or part of it (s171(3)). In establishing these trigger points, you must comply with section 10, other than section 10(1)(a) (s171(4))
- Ensure the mine has emergency response strategies for mines rescue services (s172)
- Ensure a mines rescue team is formed, in accordance with s173
- The underground telephonic communication system must comply with s176
- Ensure electrical equipment installed or operated in an ERZ0 at the mine complies with s181
- Ensure fixed, mobile and transportable electrical equipment installed or operated in an ERZ1 is in compliance with s182, and or NERZ s183
- Ensure each enclosure for switchgear is suitable rated for the prospective electrical fault level at the switchgear, and has adequate arc fault control (s184)
- In regards to s192 Earth Leakage Protection, ensure each circuit supplying a reeling, trailing or feeder cable has earth continuity protection and a lockout earth fault current protection device, and if the device is for equipment used in an ERZ1, the device is intrinsically safe (s192(4))
- Ensure a non-explosion protected batter powered vehicle is used only in a NERZ, and only if the vehicle's access into an ERZ0 or ERZ1 is prevented by a system that is either fail safe or includes multiple redundancy devices (s197(2))
- Ensure a vehicle powered by a traction battery is fitted with a detector for, and protection from, battery earth leakage current, and the traction battery is adequately ventilated (s198)
- Before explosives are first used at the mine, ensure a risk assessment is carried out in accordance with s203
- Ensure explosives used at the mine are authorised by the chief inspector for the Explosives Act 1999 as suitable for use in an underground mine (s204)
- Ensure a vehicle used to transport explosives has appropriate facilities (s208)
- Ensure portable gas detectors are managed in accordance with s227
- Ensure that if a fixed methane detector malfunctions or fails it will automatically shut down the equipment, or part of the equipment, it is monitoring, and give a visible alarm (s229(2))
- Ensure a plant item used at the mine is protected by methane detectors (s230)
- Ensure methane detectors are located at the place under subdivision 3 Places Where Methane Detectors Must be Located (s241)
- Ensure each belt conveyor used at the mine is in compliance with s256
- Ensure s262 is complied with in regards to conveyances forming part of a train
- Ensure s267 is complied with in regards to winders and slope haulages
- Ensure the headframe, or tower, of a shaft used for winding at the mine contains items as detailed in s268, and fire control is established as per s269
- Ensure compliance to
 - Subdivision 2 Further provisions about design and installation for friction winders, and
 - Subdivision 3 Operating, maintaining and testing winders, slope haulages and hoists, and
 - Subdivision 4 Winder and slope haulage ropes
- Ensure the mine plan complies with s282



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Coal Mining Safety & Health Act 1999 and Regulations 2001 Responsibilities

- Ensure the mine has a plan of surface land, complying with section 283, and a copy of the plan is kept at the mine is drawn on a transparency so it can be overlaid on the plan of any underground mine workings (s283(5))
- Ensure the mine has a plan for its surface facilities in accordance with s284
- Ensure the mine has a fire fighting and mine rescue plan, complying with s285
- Conduct a risk assessment to identify the location and type of each ERZ at the mine (s286)
- If underground mine workings may be affected by an inrush, ensure matters as per s292 are investigated and details of them kept at the mine
- Ensure a risk assessment is carried out to see whether the mine's method of working and precautions adopted in the workings reduces the risk to acceptable levels (s293)
- Ensure each borehole to, through or from a coal seam is sealed off in a way that prevents an inrush from happening through the borehole, in accordance with s295
- Ensure the mine has at least 2 trafficable entrances (escapeways) from the surface that are separated in a way that prevents any reasonable foreseeable event happening in 1 of the escapeways affecting the ability of persons to escape through the other escapeway (296(1))
- Ensure each ERZ1 at the mine where a person works has 2 escapeways leading to the surface or a refuge in accordance with s296(2))
- Ensure each group of main roads developed after the commencement of s297 includes at least 2 headings for intake air
- Ensure primary escapeways are established in accordance with s298
- Before second workings are started, give an inspector notice about the proposed second workings. In addition before the mine's SOP is significantly changed, give the inspector a copy of the risk assessment and the proposed SOP (s320)
- It is the SSE's responsibility to prevent dangerous subsidence in accordance with s322
- Prior to construction working starting at an underground mine, ensure a risk assessment is carried out covering requirements of s331
- Ensure excavations, dumping stations, chutes and bins are guarded and barricaded if there is a danger of a person falling into, or entering, it unknowingly ensure each excavation, dumping station, chute or bin at the mine is designed to prevent dangerous accumulations of gases forming in it (s332)
- Ensure the control of flooding in excavations (s333)
- Ensure notice is given of the proposed sinking of a drift or shaft to an inspector (s336)
- Ensure suitable equipment is available for the evacuation and escape from drifts and shafts (s337)
- In regards to the SOP for Using Fans Underground, ensure regard is given to ways of ensuring a compressed air powered auxiliary fan is de-energised promptly if the main ventilation system fails (s353)
- Ensure an auxiliary fan, other than a compressed air powered auxiliary fan, used at the mine is capable of switching off automatically if the main ventilation system fails totally (s355(3))
- Ensure each fan installed on the surface, and each booster fan installed below ground, at the mine as part of its ventilation system is fitted with requirements, operates and displays the results of the monitoring in accordance with s357
- It is the SSE's responsibility to establish a search procedure (for searching a person to

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Coal Mining Safety & Health Act 1999 and Regulations 2001 Responsibilities

ensure they have not taken, or does not take, contraband underground) in accordance with s10 (Developing SOP's) of the CMSHR. (s368(3))

- In developing the HSEC Management System, the SSE must have regard for any criteria for heat management set out in a recognised standard, and comply with s10 of the CMSHR. (s369(2)(a-b))



NOTICE

It is the Operations Manager/Site Senior Executive's responsibility to ensure they make themselves familiar with the Coal Mining Safety and Health Act 1999 and Regulations 2001, and ensure their actions, direction and operations are operated within the requirements of this legislation and the sites Safety Management System.

Site Senior Executive Acknowledgement

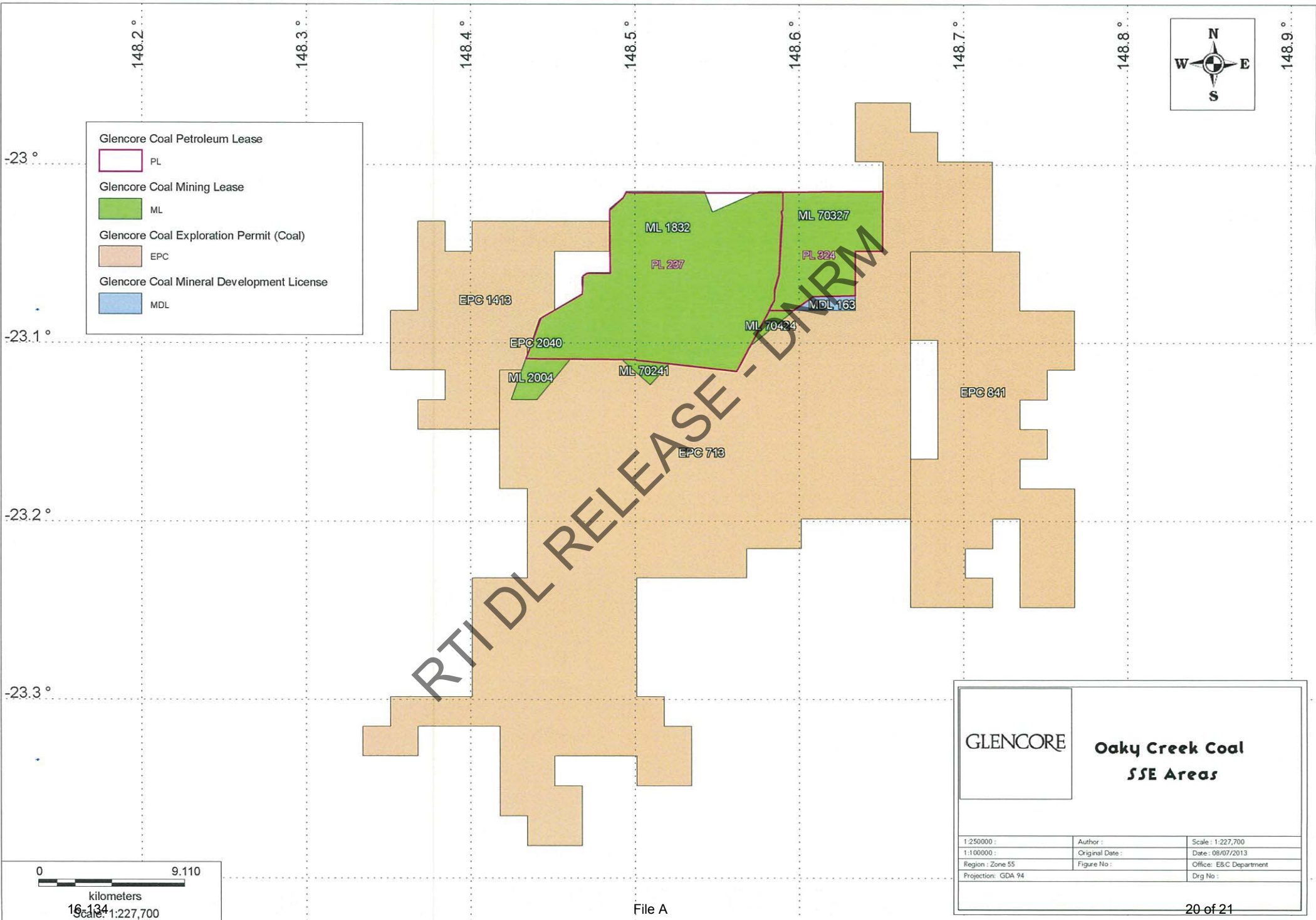
I understand and accept the roles, responsibilities and obligations as specified above.

Name:	Shane Raymond Wright
Signature:	s.73
Date:	12/12/13

Coal Mine Operator Appointment

I hereby appoint Shane Raymond Wright to the position of Site Senior Executive at Oaky No. 1 Underground Operations, in line with the requirements of section 41 of the Coal Mining Safety and Health Act 1999.

Name: <i>JOHN SNAPE</i>	John Snape
Signature:	
Date: <i>12/12/13</i>	



Glencore Coal Petroleum Lease

PL

Glencore Coal Mining Lease

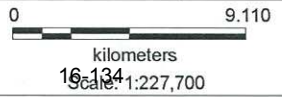
ML

Glencore Coal Exploration Permit (Coal)

EPC

Glencore Coal Mineral Development License

MDL



GLENCORE

Oaky Creek Coal SSE Areas

1:250000	Author :	Scale : 1:227,700
1:100000	Original Date :	Date : 08/07/2013
Region : Zone 55	Figure No :	Office : E&C Department
Projection: GDA 94		Dwg No :

File A

**COAL MINING SAFETY & HEALTH ACT 1999 ("the Act")
COAL MINING SAFETY & HEALTH REGULATION 2001 ("the Regulation")**

NOTICE OF APPOINTMENT OF A SITE SENIOR EXECUTIVE FOR A COAL MINE

I, John Snape, the undersigned,
(Full Name)
 coal mine operator¹ / appointed representative of the coal mine operator of the coal mine known
(Delete as applicable)
 as Daky Creek coal

(Name of Coal Mine or Specific Parts of Mine Relating to Appointment)

do hereby appoint Shane Raymond Wright
(Print Full Name)
 of Daky Creek No 1 Colliery

Site senior executive notice number: BOE – SSE / 1.1 / 089

Telephone: 4984 7401 Fax: Mobile: s.73 Email: shane.wright@glencore.com.au
(Full address for the purposes of serving notices)

under Section 41 (1) (d) of the Act, as the site senior executive² of the coal mine or part thereof mentioned above. The position is so placed in the organisation structure of the mine to enable the appointed person to carry out the duties of site senior executive required under the Act.

Signed: Date: 11 / 12 / 2013
(Coal mine operator or appointed representative) dd mm yyyy
 Telephone: 4984 7202 Fax: Mobile: Email: John.Snape@glencore.com.au

I, Shane Raymond Wright, the undersigned person, accept
(Person appointed as site senior executive - Print Full Name)
 and acknowledge by my signature below, my appointment as the site senior executive of the coal mine or part thereof mentioned above. I am aware of my duties as the site senior executive under the Act.

Signed: Date: 11 / 12 / 13
(Person appointed as site senior executive) dd mm yyyy

Note: Retain original in Mine Record. Person appointed to retain a copy. Return a copy to the Regional or District Inspector of Mines at the address closest to the location of the mine.

Southern Region. PO Box 1475 Coorparoo Q 4151 Fax (07) 3405 5346	Central Region PO Box 548 Rockhampton Q 4700 Fax (07) 4938 4331	Central Region PO Box 1801 Mackay Q 4740 Fax (07) 4953 2761	Northern Region PO Box 1752 Townsville Q 4810 Fax (07) 4760 7400	North Region PO Box 334 Mount Isa Q 4825 Fax (07) 4743 7165	North Region PO Box 210 Atherton Q 4883 Fax (07) 4091 2844
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¹ As defined in Section 21 of the Act
² As defined in Section 25 of the Act